Attorney's Docket: 59234-0017





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Group Art Unit: 2164

John K. Solheim

.

Serial No.: 09/738,562

:

Filed: December 15, 2000

Title: IN TRANSIT RECERTIFICATION OF AUCTIONED GOODS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED UNDER 37 CFR §§ 1.53(d) AND 1.16(e)

Box Missing Parts Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Part of Application - Filing Date Granted dated March 5, 2001, Applicant encloses the following documents to complete the above-identified patent application filed December 15, 2000:

- 1. Check in the amount of \$840.00 to cover the filing fee of \$710.00 and the surcharge fee of \$130.00 and a check in the amount of \$40.00 for recording Assignment;
- 2. Executed Combined Declaration and Power of Attorney;
- 3. Executed Assignment and Recordation Cover Sheet;
- 4. Formal drawings (Figs. 1-4);
- 5. Abstract;
- 6. Copy of Notice to File Missing Parts of Application Filing Date Granted; and
- 7. Postcard, postage prepaid, self-addressed, to confirm receipt.

Serial No.:

09/738,562

Title:

IN TRANSIT RECERTIFICATION OF AUCTIONED GOODS

Response to Notice to File Missing Parts of Application Filing Date Granted Under 37 CFR §§ 1.53(d) and 1.16(e)

The Commissioner is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 07-0135. A duplicate copy of this document is enclosed.

GALLAGHER & KENNEDY, P.A.

Dated: 30 April 2001

John D. Titus, Reg. No. 39,047

2575 East Camelback Road Phoenix, AZ 85016-9225

Telephone: (602) 530-8000

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. 1.10

I hereby certify that this document (and any as referred to as being attached or enclosed) is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service, mailing label No. EL709980319US on and addressed to the Commissioner of Patents and Trademarks, Box Missing Parts, Washington, D.C. 20231.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dawn M. Van Ness, Legal Secretary











United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/738,562

12/15/2000

John K. Solheim

59234-0017

CONFIRMATION NO. 3683

GALLAGHER & KENNEDY, P.A. 2575 East Camelback Road Phoenix, AZ 85016-9225

FORMALITIES LETTER OC000000005824575

Date Mailed: 03/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

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710.00 OP 130.00 OP

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE